

# Radical Characterizations of Elliptic Curves

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## Abstract

Let  $K$  be a number field, and let  $E$  be an elliptic curve over  $K$ . A famous result by Faltings of 1983 can be reformulated for elliptic curves as follows: if  $S$  is a set of primes of good reduction for  $E$  having density one, then the  $K$ -isogeny class of  $E$  is determined by the function  $\mathfrak{p} \rightarrow \#E(k_{\mathfrak{p}})$ , which maps a prime in  $S$  to the size of the group of points over the residue field. In this paper, we prove that it suffices to look at the set of primes dividing the size.

## 1 Introduction

Let  $A, A'$  be abelian varieties over a number field  $K$ , and let  $S$  be the set of common finite primes  $\mathfrak{p} \subset K$  of good reduction. A well-known theorem of Faltings implies that  $A, A'$  are  $K$ -isogenous if and only if they have the same  $L$ -series (cf. proposition 17). The  $L$ -series of  $A$  is determined, in part, by the function  $\nu : \mathfrak{p} \in S \mapsto \#A(k_{\mathfrak{p}})$ , and in this paper we consider other functions which one can use to characterize  $K$ -isogeny.

If  $A, A'$  are elliptic curves, then Faltings's theorem implies  $A, A'$  have the same  $L$ -series if and only if  $\#A(k_{\mathfrak{p}}) = \#A'(k_{\mathfrak{p}})$  for every  $\mathfrak{p} \in S$  (cf. lemma 20). A weaker condition that one could ask for is that these integers have the same radical, that is,  $\ell \mid \#A(k_{\mathfrak{p}})$  if and only if  $\ell \mid \#A'(k_{\mathfrak{p}})$ , for every prime  $\ell$  and every  $\mathfrak{p} \in S$ .

**Theorem 1.** *Suppose  $A, A'$  are elliptic curves over a number field  $K$ , and let  $S$  be a density-one set of finite primes in  $K$  over which  $A, A'$  have good reduction. If  $\Lambda \subseteq \mathbb{N}$  is an infinite set of primes, then the following are equivalent:*

1.  $A, A'$  are  $K$ -isogenous;
2.  $\ell \mid \#A(k_{\mathfrak{p}})$  if and only if  $\ell \mid \#A'(k_{\mathfrak{p}})$ , for every  $\ell \in \Lambda$  and  $\mathfrak{p} \in S'$ .

We prove theorem 1 in section 5. The proof makes crucial use of the theory developed by Serre in [4] as well as the results of Frey and Jarden [2] on the Galois modules  $A[\ell]$ . If  $A$  is an elliptic curve and  $A' = A \times A$ , then 2. holds but 1. does not. Nonetheless, the strategy behind our proof seems reasonable for pairs of square free abelian varieties, that is, abelian varieties  $A, A'$  each of which whose isogeny factors are distinct. We assume  $A, A'$  are elliptic curves because our proof uses explicit knowledge of the structure of the image of the  $\ell$ -adic representation for  $\ell \gg 0$ . A possible direction of further research is studying the following:

**Open problem.** Let  $A, A'$  be square-free abelian varieties defined over a number field, which are non-isogenous. Let  $m, m' \geq 0$ . Determine whether the following set has a positive density for  $\ell \gg 0$ :

$$S_\ell = \{\mathfrak{p} \in K : v_\ell(\#A(k_\mathfrak{p})) = m, v_\ell(\#A'(k_\mathfrak{p})) = m'\}.$$

## 1.1 Notation

The following notation occurs frequently throughout the paper:

- $\ell$  : rational prime
- $K$  : a number field
- $G_K$  : absolute Galois group of  $K$
- $A, A'$  : abelian varieties (over  $K$ )
- $E = \text{End}(A) \otimes \mathbb{Q}$
- $K_\ell$  : splitting field of the  $\ell$ -torsion of  $A$
- $L/K$  : a finite extension
- $S_L$  : set of non-archimedean primes  $\mathfrak{p} \subseteq L$
- $S_L(A) \subseteq S_L$  : primes of good reduction for  $A$
- $S_L(A, A')$  : common primes of good reduction for  $A, A'$
- $G_S$  : Galois group of the maximal extension in  $\bar{K}$  unramified over  $S \subseteq S_K$

Unless explicitly stated otherwise, we assume all abelian varieties and morphisms are defined over  $K$ .

## 2 Arithmetic of elliptic curves

### 2.1 Local $L$ -functions

Suppose  $A$  is an elliptic curve and  $\mathfrak{p} \in S_K(A)$ , and consider the following definitions

$$q_\mathfrak{p} := \#k_\mathfrak{p}, \quad a_\mathfrak{p} := 1 - \#A(k_\mathfrak{p}) + q_\mathfrak{p}, \quad \Lambda_\mathfrak{p}(T, A) := 1 - a_\mathfrak{p}T + q_\mathfrak{p}T^2.$$

The special fiber  $A_\mathfrak{p}$  is an elliptic curve over  $k_\mathfrak{p}$ , and  $\Lambda_\mathfrak{p}(T, A)$  is the numerator of its so-called Hasse-Weil zeta function.

We call  $\Lambda_\mathfrak{p}(T, A)$  the *local  $L$ -function* of  $A$  at  $\mathfrak{p}$ . One basic property it has is that it satisfies the following identity:

$$\Lambda_\mathfrak{p}(1, A) = 1 - a_\mathfrak{p} + q_\mathfrak{p} = \#A(k_\mathfrak{p}).$$

A much deeper property  $\Lambda_{\mathfrak{p}}(T, A)$  satisfies, the so-called Riemann hypothesis, is that the reciprocals  $\alpha_{\mathfrak{p}}, \beta_{\mathfrak{p}} \in \mathbb{C}^{\times}$  of its zeros satisfy  $|\alpha_{\mathfrak{p}}| = |\beta_{\mathfrak{p}}| = \sqrt{q_{\mathfrak{p}}}$  (cf. [6, ch. V, th. 2.3.1]). By using the quadratic formula one can verify the following equivalences:

$$|\alpha_{\mathfrak{p}}| = |\beta_{\mathfrak{p}}| = \sqrt{q_{\mathfrak{p}}} \iff \bar{\alpha}_{\mathfrak{p}} = \beta_{\mathfrak{p}} \iff |a_{\mathfrak{p}}| \leq 2\sqrt{q_{\mathfrak{p}}}.$$

We suppose without loss of generality that  $\alpha_{\mathfrak{p}}$  has non-negative imaginary part so that it is well defined.

Recall that the absolute endomorphism ring of  $A_{\mathfrak{p}}$  is an order in the algebra  $E_{\mathfrak{p}} = \text{End}(A_{\mathfrak{p}}) \otimes \mathbb{Q}$ . Moreover, either  $E_{\mathfrak{p}}$  is a quadratic imaginary field or it is a quaternion algebra (cf. [6, ch. V, th. 3.1]), and one says  $A_{\mathfrak{p}}$  is *ordinary* or *supersingular* respectively.

**Proposition 2.** *Suppose  $\mathfrak{p} \in S_K(A)$  does not lie over 2 or 3. Then either  $A_{\mathfrak{p}}$  is ordinary and  $[E_{\mathfrak{p}} : \mathbb{Q}] = 2$  or  $A_{\mathfrak{p}}$  is supersingular and exactly one of the following holds:*

1.  $[E_{\mathfrak{p}} : \mathbb{Q}] = 4$  and  $\Lambda_{\mathfrak{p}}(T) \in \{ (1 \pm \sqrt{q_{\mathfrak{p}}}T)^2 \}$ ;
2.  $[E_{\mathfrak{p}} : \mathbb{Q}] = 2$  and  $\Lambda_{\mathfrak{p}}(T) \in \{ 1 \pm \sqrt{q_{\mathfrak{p}}}T + q_{\mathfrak{p}}T^2 \}$ ;
3.  $[E_{\mathfrak{p}} : \mathbb{Q}] = 2$  and  $\Lambda_{\mathfrak{p}}(T) = 1 + q_{\mathfrak{p}}T^2$ .

Moreover,  $A_{\mathfrak{p}}$  is ordinary if and only if  $\mathfrak{p} \nmid a_{\mathfrak{p}}$ .

*Proof.* This follows from [7, th. 4.1]. □

Recall  $E = \text{End}(A) \otimes \mathbb{Q}$ .

**Corollary 3.** *Suppose  $[E : \mathbb{Q}] = 2$  and  $E \subseteq K$ . If  $\mathfrak{p} \in S_K(A)$  does not lie over 2 or 3, then  $E$  splits  $\Lambda_{\mathfrak{p}}(T)$ .*

*Proof.* There is an embedding  $E \rightarrow E_{\mathfrak{p}}$ , and thus proposition 2 implies either  $E = E_{\mathfrak{p}}$  or  $[E_{\mathfrak{p}} : \mathbb{Q}] = 4$  and  $\Lambda_{\mathfrak{p}}(T)$  splits over  $\mathbb{Q}$ . The Cayley-Hamilton theorem implies  $\Lambda_{\mathfrak{p}}(\text{Frob}_{\mathfrak{p}}, A) = 0$  in  $E_{\mathfrak{p}}$ , and thus if  $E = E_{\mathfrak{p}}$ , then  $\Lambda_{\mathfrak{p}}(T, A)$  has a zero in  $E$  and so splits in  $E$ . □

## 2.2 Cartan Subgroups

Suppose  $\ell$  is odd, and let  $C_{\ell} \subseteq \text{GL}_2(\mathbb{F}_{\ell})$  be a *Cartan subgroup*. This means that, up to conjugating by some  $g \in \text{GL}_2(\mathbb{F}_{\ell})$ , for some  $d \in \mathbb{F}_{\ell}^{\times}$  we have

$$C_{\ell} = \left\{ \begin{pmatrix} a & b \\ bd & a \end{pmatrix} : a, b \in \mathbb{F}_{\ell} \right\} \cap \text{GL}_2(\mathbb{F}_{\ell}).$$

The elements with  $b = 0$  correspond to the scalars  $\mathbb{F}_{\ell}^{\times} \subseteq \text{GL}_2(\mathbb{F}_{\ell})$ , and if  $d = \delta^2$  with  $\delta \in \mathbb{F}_{\ell}$ , then the  $\mathbb{F}_{\ell}$ -eigenspaces of the non-scalar elements have the form  $y = \pm\delta x$ , with eigenvalues  $a \pm b\delta$ .

If  $\delta \in \mathbb{F}_{\ell}$ , then  $C_{\ell}$  is called a *split* Cartan subgroup and it consists of all elements which are diagonal with respect to a fixed basis of  $\mathbb{F}_{\ell}^2$ . Thus there is an isomorphism

$C_\ell \simeq \mathbb{F}_\ell^\times \times \mathbb{F}_\ell^\times$ , defined up to post-composition with  $(x, y) \mapsto (y, x)$ , and we have  $\#C_\ell = (\ell - 1)^2$ .

If  $\delta \notin \mathbb{F}_\ell$ , then  $[\mathbb{F}_\ell(\delta) : \mathbb{F}_\ell] = 2$  and  $C_\ell$  is called a *non-split* Cartan subgroup. The elements of  $C_\ell$ , plus the zero matrix, form a commutative division subring of  $M_2(\mathbb{F}_\ell)$  with  $\ell^2$  elements. Thus there is an isomorphism  $C_\ell \simeq \mathbb{F}_{\ell^2}^\times$ , defined up to post-composition with  $x \mapsto x^\ell$ , and we have  $\#C_\ell = \ell^2 - 1$ .

### 2.3 Galois Theory

Let  $K_\ell = K(A[\ell])$ , and let  $G_\ell$  be the Galois group of  $K_\ell/K$ . We choose a basis of  $A[\ell]$  so that we obtain an isomorphism  $\text{Aut}(A[\ell]) \simeq \text{GL}_{2g}(\mathbb{F}_\ell)$ , for  $g = \dim(A)$ , and thus there is a natural embedding  $G_\ell \rightarrow \text{GL}_{2g}(\mathbb{F}_\ell)$  defined up to conjugacy.

We fix a polarization of  $A$  and suppose  $\ell$  does not divide its degree so that one can define the Weil pairing on  $A[\ell]$ . The pairing takes its values in  $\mu_\ell$ , the group of  $\ell$ th roots of unity, so its existence implies  $\mu_\ell \subseteq K_\ell$ . It is also Galois equivariant, that is, the following identity holds for all  $\gamma \in G_\ell$ :

$$\langle P^\gamma, Q^\gamma \rangle = \langle P, Q \rangle^\gamma, \quad \forall P, Q \in A[\ell]. \quad (1)$$

We write  $H_\ell \subseteq G_\ell$  for the Galois group of  $K_\ell/K(\mu_\ell)$ . There is a natural embedding  $G_\ell/H_\ell \rightarrow \text{Aut}(\mu_\ell) = \mathbb{F}_\ell^\times$ , and we write  $\chi_\ell : G_\ell \rightarrow \mathbb{F}_\ell^\times$  for the composition of this embedding with the quotient map  $G_\ell \rightarrow G_\ell/H_\ell$ .

**Remark 4.** If  $g = 1$ , then  $\chi_\ell$  is the restriction to  $G_\ell$  of  $\det : \text{GL}_2(\mathbb{F}_\ell) \rightarrow \mathbb{F}_\ell^\times$ .

**Remark 5.** The induced homomorphism  $\chi_\ell : G_K \rightarrow \mathbb{F}_\ell^\times$  is the cyclotomic character.

Let  $E = \text{End}(A) \otimes \mathbb{Q}$ .

**Proposition 6.** Suppose  $A$  is an elliptic curve. If  $E \subseteq K$ , then one of the following holds for all  $\ell \gg 0$ :

1.  $E = \mathbb{Q}$  and  $G_\ell = \text{GL}_2(\mathbb{F}_\ell)$ ;
2.  $E \neq \mathbb{Q}$  and  $G_\ell = C_\ell$  for some Cartan subgroup  $C_\ell \subseteq \text{GL}_2(\mathbb{F}_\ell)$ .

*Proof.* The first part follows from [4, théorème 2]. The second follows from [4, §4.5, corollaire] (cf. section 3).  $\square$

The following is a useful independence result:

**Proposition 7.** Suppose  $A$  is an elliptic curve and  $L/K$  is a finite extension, and let  $K' = KE$ . If  $\ell \gg 0$ , then  $L \cap K_\ell \subseteq K'$ .

*Proof.* If we write  $K'_\ell = K'(A[\ell])$ , then  $L \cap K'_\ell = K'$  implies  $L \cap K_\ell \subseteq K'$ . Thus it suffices to show the  $L \cap K'_\ell = K'$  for all  $\ell \gg 0$ , and so we replace  $K, L$  by  $K', LE$  and suppose  $E \subseteq K$ . There are only finitely many possibilities for the intersection  $L \cap K_\ell$  since  $L$  is a finite (separable) extension of  $K$ , so some extension  $K''/K$  occurs for infinitely many  $\ell$ . In particular, [4, th. 3 and §4.5, cor.] implies  $K_{\ell_1} \cap K_{\ell_2} = K$  for  $\ell_1 > \ell_2 \gg 0$ , so the only possibility is  $K'' = K$ . That is,  $L \cap K_\ell = K$  for every  $\ell \gg 0$ .  $\square$

Suppose  $A'$  is another polarized abelian variety, and let  $K'_\ell$ ,  $G'_\ell$ , and  $\chi'_\ell$  be defined accordingly for  $A'$ . Consider the compositum  $K_\ell K'_\ell$  as an extension of  $K$  and let  $\Gamma_\ell \subseteq G_\ell \times G'_\ell$  be its Galois group.

**Lemma 8.**  $\Gamma_\ell$  lies in the subgroup of  $(\gamma, \gamma') \in G_\ell \times G'_\ell$  satisfying  $\chi_\ell(\gamma) = \chi'_\ell(\gamma')$ .

*Proof.* As noted in remark 5, the induced characters  $\chi_\ell : G_K \rightarrow \mathbb{F}_\ell^\times$  and  $\chi'_\ell : G_K \rightarrow \mathbb{F}_\ell^\times$  are both the cyclotomic character, that is, they are the same character. Therefore the compositions of the projections  $\Gamma_\ell \rightarrow G_\ell, \Gamma_\ell \rightarrow G'_\ell$  with the respective restrictions of  $\chi_\ell, \chi'_\ell$  are the same map  $\Gamma_\ell \rightarrow \mathbb{F}_\ell^\times$ , that is,  $\chi_\ell(\gamma) = \chi'_\ell(\gamma')$  for each  $(\gamma, \gamma') \in \Gamma_\ell$ .  $\square$

### 3 Complex Multiplication

Throughout this section we assume  $A$  is an elliptic curve and that both  $[E : \mathbb{Q}] = 2$  and  $E \subseteq K$ . Thus  $E$  is a quadratic imaginary field and  $\text{End}_K(A) \otimes \mathbb{Q} = E$  (cf. [5, §II.2, th. 2.2]). Unless stated otherwise, we also suppose that  $A, A'$  are  $\bar{K}$ -isogenous so that  $\text{End}(A') \otimes \mathbb{Q} = E$  and define  $S = S_K(A, A')$ .

Suppose  $\ell \geq 3$  is unramified in  $E$ , and fix a prime  $\lambda \in S_E$  lying over  $\ell$  and let  $\bar{\lambda} \in S_E$  be its  $\text{Gal}(E/\mathbb{Q})$ -conjugate. The modules  $A[\lambda]$  and  $A[\bar{\lambda}]$  are well-defined, and we have the following isomorphism of Galois modules:

$$A[\ell] \simeq \begin{cases} A[\lambda] \times A[\bar{\lambda}] & \ell \text{ split in } E \\ A[\lambda] & \ell \text{ inert in } E \end{cases}. \quad (2)$$

We note that  $A[\lambda]$  is a  $\mathbb{F}_\lambda[G_K]$ -module satisfying  $\dim_{\mathbb{F}_\lambda}(A[\lambda]) = 1$ , thus  $\text{Aut}_{\mathbb{F}_\lambda}(A[\lambda]) = \mathbb{F}_\lambda^\times$ . Moreover, if  $\ell \gg 0$ , then the projections  $A[\ell] \rightarrow A[\lambda], A[\bar{\lambda}]$  lift to endomorphisms of  $A$ . In particular, for almost all  $\ell$ , the action of  $G_\ell$  must commute with the projections  $A[\ell] \rightarrow A[\lambda], A[\bar{\lambda}]$  and respective actions of  $\mathbb{F}_\lambda^\times, \mathbb{F}_{\bar{\lambda}}^\times$ . The subgroup  $C_\ell \subseteq \text{Aut}(A[\ell])$  of all elements with this property is a Cartan subgroup. More precisely,  $C_\ell$  is split if and only if  $\ell$  splits in  $K$ , and then  $C_\ell = \text{Aut}(A[\lambda]) \times \text{Aut}(A[\bar{\lambda}]) = \mathbb{F}_\lambda^\times \times \mathbb{F}_{\bar{\lambda}}^\times$ . Otherwise  $C_\ell$  is non-split and  $\ell$  is inert in  $K$ , and then  $C_\ell = \mathbb{F}_\lambda^\times$ .

Let  $K_\lambda = K(A[\lambda])$  and  $G_\lambda \subseteq \text{Aut}(A[\lambda])$  be the Galois group of  $K_\lambda/K$ .

**Proposition 9.** *If  $\lambda \gg 0$ , then there is a canonical isomorphism  $G_\lambda = \mathbb{F}_\lambda^\times$ .*

*Proof.* Suppose  $\ell$  is large so that proposition 6 implies  $G_\ell = C_\ell$ . If  $C_\ell$  is non-split, then  $G_\lambda = G_\ell = C_\ell = \mathbb{F}_\lambda^\times$ , so suppose  $C_\ell$  is split and thus  $C_\ell = \mathbb{F}_\lambda^\times \times \mathbb{F}_{\bar{\lambda}}^\times$  and  $K_\ell = K_\lambda K_{\bar{\lambda}}$ . There are natural embeddings  $G_\ell \subseteq G_\lambda \times G_{\bar{\lambda}}$  and  $G_\lambda \times G_{\bar{\lambda}} \subseteq C_\ell$  and their composition is a bijection, hence  $G_\lambda \times G_{\bar{\lambda}} = C_\ell$  and thus  $G_\lambda = \mathbb{F}_\lambda^\times$ .  $\square$

If we write  $S_\ell \subseteq S$  for the subset of  $\mathfrak{p}$  not lying over  $\ell$ , then  $K_\ell/K$  is unramified over  $S_\ell$  and hence so is  $K_\lambda/K$ . That is, the composed character  $G_K \rightarrow G_\lambda \subseteq \mathbb{F}_\lambda^\times$  factors through  $G_K \rightarrow G_{S_\ell}$ , and we write  $\psi_\lambda : G_{S_\ell} \rightarrow \mathbb{F}_\lambda^\times$  for the corresponding character. For split  $C_\ell$ , let  $\psi_{\bar{\lambda}} : G_{S_\ell} \rightarrow \mathbb{F}_{\bar{\lambda}}^\times$  be defined similarly, and otherwise let  $\psi_{\bar{\lambda}} : G_{S_\ell} \rightarrow \mathbb{F}_\lambda^\times$  be the composition of  $\psi_\lambda$  and the  $\ell$ th power map  $\mathbb{F}_\lambda^\times \rightarrow \mathbb{F}_\lambda^\times$ .

**Lemma 10.** *If  $\mathfrak{p} \in S_\ell$  and if  $\phi_{\mathfrak{p}} \in G_{S_\ell}$  is an element in the conjugacy class of the Frobenius, then  $(\psi_\lambda + \psi_{\bar{\lambda}})(\phi_{\mathfrak{p}}) \equiv a_{\mathfrak{p}} \pmod{\ell}$  and  $\psi_\lambda \psi_{\bar{\lambda}}(\phi_{\mathfrak{p}}) \equiv q_{\mathfrak{p}} \pmod{\ell}$ .*

*Proof.* As noted in remark 5, the composition of  $G_K \rightarrow G_{S_\ell} \rightarrow G_\ell$  and  $\det : G_\ell \rightarrow \mathbb{F}_\ell^\times$  is the cyclotomic character  $\chi_\ell$ . Moreover,  $\phi_{\mathfrak{p}}$  acts in  $\bar{k}_{\mathfrak{p}}$  as  $x \mapsto x^{q_{\mathfrak{p}}}$ , thus  $\chi_\ell(\phi_{\mathfrak{p}}) \equiv q_{\mathfrak{p}} \pmod{\ell}$ . Similarly, the restriction  $\text{Tr} : G_\ell \rightarrow \mathbb{F}_\ell$  is well defined and  $\text{Tr}(\phi_{\mathfrak{p}}) \equiv a_{\mathfrak{p}} \pmod{\ell}$ .

If  $C_\ell$  is split, then  $C_\ell = \mathbb{F}_\lambda^\times \times \mathbb{F}_{\bar{\lambda}}^\times$  and  $G_\ell = (\psi_\lambda(G_K), \psi_{\bar{\lambda}}(G_K)) \subseteq C_\ell$ , and  $\det, \text{Tr}$  are respectively given by the maps  $(x, y) \mapsto xy$  and  $(x, y) \mapsto x + y$ . Otherwise,  $C_\ell = \mathbb{F}_\lambda^\times$  and  $G_\ell = \psi_\lambda(G_K) \subseteq C_\ell$ , and  $\det, \text{Tr}$  are respectively the norm and trace maps  $\mathbb{F}_\lambda^\times \rightarrow \mathbb{F}_\ell^\times$  and  $\mathbb{F}_\lambda \rightarrow \mathbb{F}_\ell$ .  $\square$

For each  $\mathfrak{p} \in S_K(A)$ , corollary 3 implies the reciprocals  $\alpha_{\mathfrak{p}}, \bar{\alpha}_{\mathfrak{p}}$  of the zeros of  $\Lambda_{\mathfrak{p}}(T)$  lie in  $E$  (and thus in  $K$ ), so for  $\mathfrak{p}$  not dividing  $\ell$ , lemma 10 implies we have the following identities:

$$\Lambda_{\mathfrak{p}}(T) \equiv (1 - \alpha_{\mathfrak{p}}T)(1 - \bar{\alpha}_{\mathfrak{p}}T) \equiv (1 - \psi_\lambda(\phi_{\mathfrak{p}})T)(1 - \psi_{\bar{\lambda}}(\phi_{\mathfrak{p}})T) \pmod{\lambda}. \quad (3)$$

Suppose  $A'$  is  $\bar{K}$ -isogenous to  $A$ . Then a similar congruence holds for  $\Lambda'_{\mathfrak{p}}(T)$  and  $\mathfrak{p} \in S_K(A')$ , and in particular, we have the following identities for  $\mathfrak{p} \in S$ :

$$\{\alpha_{\mathfrak{p}}, \bar{\alpha}_{\mathfrak{p}}\} \equiv \{\psi_\lambda(\phi_{\mathfrak{p}}), \psi_{\bar{\lambda}}(\phi_{\mathfrak{p}})\}, \quad \{\alpha'_{\mathfrak{p}}, \bar{\alpha}'_{\mathfrak{p}}\} \equiv \{\psi'_\lambda(\phi_{\mathfrak{p}}), \psi'_{\bar{\lambda}}(\phi_{\mathfrak{p}})\} \pmod{\lambda}. \quad (4)$$

Let  $\varepsilon_\lambda : G_{S_\ell} \rightarrow \mathbb{F}_\lambda^\times$  be the character  $\varepsilon_\lambda := \psi'_\lambda / \psi_\lambda$  so that  $A[\lambda] \otimes \varepsilon_\lambda \simeq A'[\lambda]$ .

**Lemma 11.** *If  $A, A'$  are  $\bar{K}$ -isogenous, then for some  $m \geq 1$  and all  $\lambda \gg 0$ , the order of  $\varepsilon_\lambda$  is at most  $m$ .*

*Proof.* Suppose  $L/K$  is a finite Galois extension and over which  $A, A'$  are isogenous, and let  $S'_\ell \subseteq S_\ell$  be the subset of  $\mathfrak{p}$  which are unramified in  $L$  so that  $\psi_\lambda, \psi'_\lambda, \varepsilon_\lambda$  all factor through characters  $G_{S'_\ell} \rightarrow \mathbb{F}_\lambda^\times$ . For each  $\mathfrak{p} \in S'_\ell$ , choose  $\mathfrak{q} \in S_L$  dividing  $\mathfrak{p}$  and let  $\phi_{\mathfrak{p}}, \phi_{\mathfrak{q}} \in G_{S'_\ell}$  be the corresponding Frobenius elements. Then we have the following identities:

$$\psi_\lambda(\phi_{\mathfrak{p}})^{[k_{\mathfrak{q}}:k_{\mathfrak{p}}]} \equiv \psi_\lambda(\phi_{\mathfrak{q}}), \quad \psi'_\lambda(\phi_{\mathfrak{p}})^{[k_{\mathfrak{q}}:k_{\mathfrak{p}}]} \equiv \psi'_\lambda(\phi_{\mathfrak{q}}) \pmod{\lambda}.$$

Suppose  $\iota : A \rightarrow A'$  is an  $L$ -isogeny. If  $\ell$  does not divide  $\deg(\iota)$ , then  $\iota$  induces an isomorphism  $A[\lambda] \simeq A'[\lambda]$  of  $G_L$ -modules and thus the restrictions  $\psi_\lambda : G_L \rightarrow \mathbb{F}_\lambda^\times$  and  $\psi'_\lambda : G_L \rightarrow \mathbb{F}_\lambda^\times$  are isomorphic. Therefore, since  $L/K$  is Galois and so  $[k_{\mathfrak{q}} : k_{\mathfrak{p}}] \mid [L : K]$ , we have the following identities:

$$\varepsilon_\lambda(\phi_{\mathfrak{p}})^{[L:K]} \equiv (\psi_\lambda(\phi_{\mathfrak{p}}) / \psi'_\lambda(\phi_{\mathfrak{p}}))^{[L:K]} \equiv 1 \pmod{\lambda}.$$

That is,  $\varepsilon_\lambda$  has order dividing  $[L : K]$  for every  $\lambda \gg 0$ .  $\square$

**Corollary 12.** *Suppose  $A, A'$  are  $\bar{K}$ -isogenous, and let  $S = S_K(A, A')$ . If  $\lambda \gg 0$ , then  $\varepsilon_\lambda$  factors through the quotient  $G_{S_\ell} \rightarrow G_S$ .*

*Proof.* Let  $m$  be as in lemma 11, and suppose  $\lambda \gg 0$  so that the order of  $\varepsilon_\lambda$  is at most  $m$ . Since  $\varepsilon_\lambda$  takes values in  $\mathbb{F}_\lambda^\times$ , it has order prime to  $\ell$ , and thus  $\varepsilon_\lambda$  is at worst tamely ramified over  $\mathfrak{p}$  dividing  $\ell$ . Therefore it suffices to show the restriction of  $\varepsilon_\lambda$  to the tame inertia group  $I_\ell \subseteq G_{S_\ell}$ , defined up to conjugacy, is trivial (cf. [4, §1.6]). Equivalently, it suffices to show that the corresponding invariant, which is an element in  $\mathbb{Q}/\mathbb{Z}$  with denominator coprime to  $\ell$ , is zero (cf. [4, §1.7]).

If  $\lambda \gg 0$ , then  $\ell$  is unramified in  $K$  and thus the corollaries of [4, prop. 11, prop. 12] imply that the respective invariants  $x, x'$  of  $\psi_\lambda, \psi'_\lambda$  are elements of

$$X = \{ 0, 1/(\ell - 1), 1/(\ell^2 - 1), \ell/(\ell^2 - 1) \}.$$

Swapping  $A, A'$  if necessary, we suppose  $x \geq x'$ . Then the invariant of  $\varepsilon_\lambda = \psi_\lambda/\psi'_\lambda$  is  $x - x'$  and thus is an element of

$$X' = \{ 0, 1/(\ell - 1), 1/(\ell^2 - 1), \ell/(\ell^2 - 1), \ell/(\ell - 1), 1/(\ell + 1) \}$$

If  $m_\lambda$  is the order of  $\varepsilon_\lambda$ , then  $x - x' = a/b$  is a multiple of  $1/m_\lambda$ , that is,  $b \mid m_\lambda$  when  $\gcd(a, b) = 1$ . Thus if  $a \neq 0$ , then  $b$  is one of  $\ell - 1, \ell + 1, \ell^2 - 1$ . In particular, since  $b \leq m_\lambda \leq m$ , if  $\ell > m + 1$ , then we must have  $a = 0$ , that is, the invariant of  $\varepsilon_\lambda$  is trivial for  $\lambda \gg 0$ .  $\square$

Let  $\mu \subset E$  be the subgroup of roots of unity. We define  $\mu \rightarrow \mathbb{F}_\lambda^\times$  to be the homomorphism induced by reduction modulo  $\lambda$ ; it is injective, if  $\ell \geq 5$ . If  $\lambda$  is split, we define  $\mu \rightarrow \mathbb{F}_\lambda^\times$  to be reduction modulo  $\bar{\lambda}$ .

**Corollary 13.** *Suppose  $A, A'$  are  $\bar{K}$ -isogenous. If  $\lambda \gg 0$ , then  $\varepsilon_\lambda$  takes values in  $\mu$  and  $\varepsilon_\lambda = \varepsilon_{\lambda'}$  for all  $\lambda'$  in some infinite  $\Lambda \subseteq S_E$ .*

*Proof.* Suppose  $\lambda \gg 0$  so that lemma 11 implies  $\varepsilon_\lambda$  has order at most  $m$  and corollary 12 implies  $\varepsilon_\lambda$  factors through  $G_{S_\ell} \rightarrow G_S$ . Hermite's theorem implies the set of characters of  $G_S$  of order at most  $m$  is finite, hence up to excluding finitely many  $\lambda$  we may suppose  $\varepsilon_\lambda = \varepsilon_{\lambda'}$  for all  $\lambda'$  in an infinite  $\Lambda' \subseteq S_E$ .

Suppose  $\mathfrak{p} \in S_\ell$ . Up to swapping  $\alpha_\mathfrak{p}, \bar{\alpha}_\mathfrak{p}$  and up to swapping  $\alpha'_\mathfrak{p}, \bar{\alpha}'_\mathfrak{p}$ , we apply (4) and assume the following identities hold for all  $\lambda'$  not dividing  $\mathfrak{p}$  and in some infinite  $\Lambda \subseteq \Lambda'$ :

$$\psi_{\lambda'}(\phi_\mathfrak{p}) \equiv \alpha_\mathfrak{p}, \quad \psi'_{\lambda'}(\phi_\mathfrak{p}) \equiv \alpha'_\mathfrak{p}, \quad \varepsilon_{\lambda'}(\phi_\mathfrak{p}) \equiv \alpha_\mathfrak{p}/\alpha'_\mathfrak{p} \pmod{\lambda'}.$$

Since this identity holds for infinitely many  $\lambda'$  and since  $\varepsilon_\lambda(\phi_\mathfrak{p}) = \zeta_\mathfrak{p} = \varepsilon_{\lambda'}(\phi_\mathfrak{p})$  for some root of unity  $\zeta_\mathfrak{p} \in \bar{E}$ , we must have  $\zeta_\mathfrak{p} = \alpha_\mathfrak{p}/\alpha'_\mathfrak{p}$  and thus  $\zeta_\mathfrak{p} \in \mu \subset E$ .  $\square$

**Remark 14.** *Suppose  $\lambda \gg 0$ , and let  $\Lambda \subseteq S_E$  be as in corollary 13 and  $\chi : G_S \rightarrow \mu$  be such that  $\chi = \varepsilon_\lambda$  for every  $\lambda \in \Lambda$ . Then  $\psi_\lambda \chi = \psi'_\lambda$ , or equivalently,  $A[\lambda] \otimes \chi \simeq A'[\lambda]$  for every  $\lambda \in \Lambda$ .*

Suppose  $\ell \geq 5$ , and consider the following embedding  $\mu \subseteq C_\ell$ : if  $C_\ell$  is split, then it is the product embedding  $\mu \rightarrow \mathbb{F}_\lambda^\times \times \mathbb{F}_\lambda^\times = C_\ell$  given by  $\zeta \mapsto (\zeta, \zeta) (= (\zeta, 1/\zeta) \in \mathbb{F}_\ell^\times \times \mathbb{F}_\ell^\times$  via the field isomorphisms  $\mathbb{F}_\lambda = \mathbb{F}_\ell = \mathbb{F}_{\bar{\lambda}}$ ); otherwise it is the embedding  $\mu \rightarrow \mathbb{F}_\lambda^\times = C_\ell$ . Thus for any character  $\chi : G_K \rightarrow \mu$  we can consider the twist  $A[\ell] \otimes \chi$  as an  $\mathbb{F}_\ell[G_K]$ -module.

**Lemma 15.** *If  $A[\lambda] \otimes \chi \simeq A'[\lambda]$ , then  $A[\ell] \otimes \chi \simeq A'[\ell]$ .*

*Proof.* If  $\ell$  is inert, then there is nothing to prove, so suppose  $\ell$  is split and  $A[\lambda] \otimes \chi \simeq A'[\lambda]$ . On one hand,  $C_\ell = \mathbb{F}_\lambda^\times \times \mathbb{F}_\lambda^\times$  and the composition of  $\chi : G_K \rightarrow \mu \subseteq C_\ell$  with the projection  $C_\ell \rightarrow \mathbb{F}_\lambda^\times$  and bijection  $\mathbb{F}_\lambda = \mathbb{F}_\lambda$  is  $\chi^{-1}$ , thus  $A[\ell] \otimes \chi$  is isomorphic to the direct sum of  $A[\lambda] \otimes \chi$  and  $A[\bar{\lambda}] \otimes (1/\chi)$ . On the other hand, since  $\psi_\lambda \chi = \psi'_\lambda$ , lemma 10 implies  $\psi_\lambda \psi_{\bar{\lambda}} = \psi'_\lambda \psi'_{\bar{\lambda}}$ , thus  $\psi'_{\bar{\lambda}} = \psi_{\bar{\lambda}}/\chi$  and so  $A[\bar{\lambda}] \otimes (1/\chi) \simeq A'[\bar{\lambda}]$ . Together these imply  $A[\ell] \otimes \chi \simeq A'[\ell]$ .  $\square$

**Corollary 16.** *If  $A, A'$  are  $\bar{K}$ -isogenous, then there is an infinite  $\Lambda_{\mathbb{Q}} \subseteq S_{\mathbb{Q}}$  and a character  $\chi : G_K \rightarrow \mu$  such that  $A[\ell] \otimes \chi \simeq A'[\ell]$  for every  $\ell \in \Lambda_{\mathbb{Q}}$ .*

*Proof.* Let  $\Lambda_{\mathbb{Q}} = \{\lambda \cap \mathbb{Q} : \lambda \in \Lambda\}$  and combine remark 14 and lemma 15.  $\square$

## 4 Fibers of elliptic curves

### 4.1 Essentially Equal Functions

We say that a pair of functions  $f, f'$  defined on a subset of  $S_K$  are *essentially equal* if and only if they are equal on some density-one subset, and then we write  $f \approx f'$ .

Given abelian varieties  $A, A'$ , let  $\lambda, \lambda'$  be the functions on  $S_K(A)$  which maps  $\mathfrak{p} \in S_K(A)$  to the respective local  $L$ -function  $\Lambda_{\mathfrak{p}}(T, A), \Lambda_{\mathfrak{p}}(T, A') \in \mathbb{Z}[T]$  (cf. section 2.1).

**Proposition 17.**  *$\lambda \approx \lambda'$  if and only if  $A, A'$  are  $K$ -isogenous.*

*Proof.* See [1, Corollary 2].  $\square$

Given an abelian variety  $A$  and finite extension  $L/K$ , we consider the function  $\phi_L$  which maps  $\mathfrak{p} \in S_L(A)$  to the isomorphism class of the group of points  $A(k_{\mathfrak{p}})$  on the special fiber. Given a function  $\psi$  on the set of groups modulo isomorphism, we write  $\psi\phi_L$  for the composed function which maps  $\mathfrak{p} \in S_L(A)$  to  $\psi(A(k_{\mathfrak{p}}))$ . We define  $\phi'_L$  and  $\psi\phi'_L$  similarly given an additional abelian variety  $A'$ .

The following lemma demonstrates  $\approx$  behaves well with respect to base change:

**Lemma 18.** *If  $A, A'$  are abelian varieties and if  $\psi\phi_K \approx \psi\phi'_K$ , then  $\psi\phi_L \approx \psi\phi'_L$ .*

*Proof.* Let  $S'_K \subseteq S_K$  and  $S'_L \subseteq S_L$  be the respective subsets of primes of degree one. They have Dirichlet density one. If  $\mathfrak{q} \in S'_L$  and if  $\mathfrak{p} := \mathfrak{q} \cap K$ , then the embedding  $k_{\mathfrak{p}} \rightarrow k_{\mathfrak{q}}$  is surjective and

$$\psi\phi_L(\mathfrak{q}) = \psi\phi_K(\mathfrak{p}) = \psi\phi'_K(\mathfrak{p}) = \psi\phi'_L(\mathfrak{q}),$$

and thus  $\psi\phi_L(\mathfrak{q}) = \psi\phi'_L(\mathfrak{q})$  for all  $\mathfrak{q}$  in the density-one set  $S'_L$ .  $\square$

**Remark 19.** *The converse does not hold: if  $A, A'$  are not  $K$ -isogenous but are  $L$ -isomorphic, then  $\phi_{A,K} \not\approx \phi_{A',K}$  and  $\phi_{A,L} \approx \phi_{A',L}$ .*



For each  $A$  and  $\ell$ , we write  $\nu_{A,K}$  for the function  $\mathfrak{p} \in S_K(A) \mapsto \#A(k_{\mathfrak{p}})$  and regard it as the composition of  $\phi_{A,L}$  and the counting function  $G \mapsto \#G$ . A priori, one could have  $\lambda_{A,K} \not\approx \lambda_{A',K}$  and yet still have  $\nu_{A,K} \approx \nu_{A',K}$ , but the lemma shows this does not occur for  $\dim(A) = 1$ :

**Lemma 20.** *If  $A, A'$  are elliptic curves over  $K$ , then  $\nu_{A,K} \approx \nu_{A',K}$  if and only if  $A, A'$  are  $K$ -isogenous.*

*Proof.* If we write  $\lambda = \lambda_{A,K}$  and define  $\lambda', \nu, \nu'$  similarly, then the following equivalences between identities imply  $\lambda \approx \lambda'$  if and only if  $\nu \approx \nu'$ :

$$\lambda(\mathfrak{p}) = \lambda'(\mathfrak{p}) \iff a_{\mathfrak{p}} = a'_{\mathfrak{p}} \iff \nu(\mathfrak{p}) = 1 - a_{\mathfrak{p}} + q_{\mathfrak{p}} = 1 - a'_{\mathfrak{p}} + q_{\mathfrak{p}} = \nu'(\mathfrak{p}).$$

The lemma follows if we apply proposition 17 to deduce that  $A, A'$  are  $K$ -isogenous if and only if  $\lambda \approx \lambda'$ . □

## 4.2 Radicals

Suppose  $\ell$  is a prime, and let  $g = \dim(A)$ . Recall that the radical of a finite group  $G$  is the square free product of the primes dividing  $\#G$ . We consider only the  $\ell$ -part of the radical and define  $\rho_{\ell}$  to be the following map:

$$S_K(A) \rightarrow \{0, 1\} : \mathfrak{p} \mapsto \min\{1, v_{\ell}(\#A(k_{\mathfrak{p}}))\};$$

Recall that in section 2.3 we defined  $K_{\ell} = K(A[\ell])$  and  $G_{\ell} = \text{Gal}(K_{\ell}/K)$ . The following lemma gives a Galois-theoretic way to analyze  $\rho_{\ell}$ :

**Lemma 21.** *Suppose  $\mathfrak{p} \in S_K(A)$  does not ramify in  $K_{\ell}$  and  $\mathfrak{q} \in S_{K_{\ell}}$  lies over  $\mathfrak{p}$ . If  $\phi_{\mathfrak{q}} \in G_{\ell}$  is the Frobenius of  $\mathfrak{q}$ , then  $\rho_{\ell}(\mathfrak{p}) = 1$  if and only if  $\det(\phi_{\mathfrak{q}} - 1) = 0$ .*

*Proof.* The embedding  $A(k_{\mathfrak{p}}) \rightarrow A(k_{\mathfrak{q}})$  identifies  $A(k_{\mathfrak{p}})[\ell]$  with  $\ker(\phi_{\mathfrak{q}} - 1) \subseteq A[\ell]$ , hence  $\ell \mid \#A(k_{\mathfrak{p}})$  if and only if 1 is an eigenvalue of  $\phi_{\mathfrak{q}}$ . □

Recall that we defined  $K'_{\ell}$  and  $G'_{\ell}$  for  $A'$  and that  $\Gamma_{\ell} \subseteq G_{\ell} \times G'_{\ell}$  denotes the Galois group of the compositum  $K_{\ell}K'_{\ell}/K$ . Let  $\rho'_{\ell}$  be defined accordingly for  $A'$ .

**Lemma 22.** *If  $\rho_{\ell} \approx \rho'_{\ell}$ , then  $\det(\gamma - 1), \det(\gamma' - 1)$  are both zero or both non-zero for every  $(\gamma, \gamma') \in \Gamma_{\ell}$ .*

*Proof.* Suppose  $S \subseteq S_K(A, A')$  has Dirichlet density one and that  $\rho_{\ell}|_S = \rho'_{\ell}|_S$ . Let  $S' \subseteq S$  be the subset consisting of the primes  $\mathfrak{p}$  which are unramified in  $K_{\ell}K'_{\ell}$  and whose Frobenius conjugacy class in  $\Gamma_{\ell}$  contains  $(\gamma, \gamma')$ . The density of  $S'$  is positive, and for each  $\mathfrak{p} \in S'$ , lemma 21 implies the values  $\rho_{\ell}(\mathfrak{p}), \rho'_{\ell}(\mathfrak{p})$  respectively identify whether or not  $\det(\gamma - 1), \det(\gamma' - 1)$  are non-zero, and thus the hypothesis  $\rho_{\ell}(\mathfrak{p}) = \rho'_{\ell}(\mathfrak{p})$  implies the determinants are both zero or both non-zero. □

## 5 Proof of Theorem 1

Let  $A, A'$  be elliptic curves over  $K$  and  $\rho_\ell, \rho'_\ell$  the maps defined in section 4.2. In this section we prove the following result:

**Theorem 1.** *Suppose  $S' \subseteq S = S_K(A, A')$  has density one and  $\Lambda \subseteq \mathbb{N}$  is an infinite set of primes. If  $A, A'$  are elliptic curves over  $K$ , then the following are equivalent:*

1.  $A, A'$  are  $K$ -isogenous;
2.  $\rho_\ell(\mathfrak{p}) = \rho'_\ell(\mathfrak{p})$  for every  $\ell \in \Lambda$  and  $\mathfrak{p} \in S'$ .

The implication  $1 \Rightarrow 2$  follows from lemma 20, so we prove  $2 \Rightarrow 1$ . The structure of the proof is as follows:

1. We reduce to the case  $E, E' \subseteq K$  for  $E = \text{End}(A) \otimes \mathbb{Q}$  and  $E' = \text{End}(A') \otimes \mathbb{Q}$ .
2. We show  $K(A[\ell]) = K(A'[\ell])$  for all  $\ell$  in an infinite  $\Lambda' \subseteq \mathbb{N}$  and deduce  $A, A'$  are  $\bar{K}$ -isogenous and  $E = E'$ .
3. We construct a character  $\chi$  such that  $A[\ell] \otimes \chi \simeq A'[\ell]$  for all  $\ell$  in an infinite  $\Lambda'' \subseteq \Lambda'$ .
4. We prove  $A[\ell] \simeq A'[\ell]$  for almost all  $\ell \in \Lambda''$  and deduce that  $A, A'$  are  $K$ -isogenous.

The remainder of this section is broken into four pieces, one for each of these steps.

### Step 1

Lemma 18 implies the hypotheses of the theorem hold over any finite extension of  $K$ , and two applications of the following lemma, one with  $A, A'$  swapped, imply that it suffices to prove theorem 1 over  $KEE' = (KE)E'$ :

**Lemma 23.** *If  $A, A'$  are  $KE$ -isogenous, then  $E' = E$  and  $A, A'$  are  $K$ -isogenous.*

*Proof.* The identity  $\text{End}(A) \otimes \mathbb{Q} = \text{End}(A') \otimes \mathbb{Q}$  holds for any pair of  $\bar{K}$ -isogenous abelian varieties, thus  $E = E'$ . Moreover, if  $E \subseteq K$  then  $A, A'$  are  $K$ -isogenous, so suppose  $E \not\subseteq K$ .

Let  $S \subseteq S_K(A, A')$  be the density-one subset of  $\mathfrak{p}$  which have degree one and which neither ramify in  $KE$  or lie over 2 or 3. We will show that  $a_{\mathfrak{p}} = a'_{\mathfrak{p}}$  for every  $\mathfrak{p} \in S$ , and then lemma 20 implies  $A, A'$  are  $K$ -isogenous, so suppose  $\mathfrak{p} \in S$ .

If  $\mathfrak{q} \in S_{KE}$  is a prime lying over  $\mathfrak{p}$ , then  $a_{\mathfrak{q}} = a'_{\mathfrak{q}}$  since  $A, A'$  are  $KE$ -isogenous. If  $\mathfrak{p}$  splits in  $KE$ , then we have  $a_{\mathfrak{p}} = a_{\mathfrak{q}}$  and  $a'_{\mathfrak{q}} = a'_{\mathfrak{p}}$  since  $k_{\mathfrak{q}} = k_{\mathfrak{p}}$ , thus  $a_{\mathfrak{p}} = a'_{\mathfrak{p}}$ . Otherwise,  $\mathfrak{p} \in S$  is inert, thus [3, ch. 10 §4 theorem 10] implies  $A, A'$  have supersingular reduction over  $\mathfrak{p}$ . Moreover, since  $q_{\mathfrak{p}}$  is prime and thus not a square, proposition 2 implies  $a_{\mathfrak{p}} = a'_{\mathfrak{p}} = 0$ . Therefore  $a_{\mathfrak{p}} = a'_{\mathfrak{p}}$  for every  $\mathfrak{p} \in S$  as claimed.  $\square$

## Step 2

We use the notation of section 2.3, thus  $K_\ell = K(A[\ell])$ ,  $G_\ell = \text{Gal}(K_\ell/K) \subseteq \text{GL}_2(\mathbb{F}_\ell)$ , and  $H_\ell = G_\ell \cap \text{SL}_2(\mathbb{F}_\ell)$  is the stabilizer of  $K(\zeta_\ell)$ . Similarly, we have corresponding objects  $K'_\ell, G'_\ell, H'_\ell$ . Finally,  $\Gamma_\ell \subseteq G_\ell \times G'_\ell$  is the Galois group of  $K_\ell K'_\ell/K$ .

The kernels of the projections  $\Gamma_\ell \rightarrow G_\ell$  and  $\Gamma_\ell \rightarrow G'_\ell$  project onto normal subgroups of  $G'_\ell$  and  $G_\ell$  respectively. For example, lemma 8 implies the intersection of  $\Gamma_\ell$  with the normal subgroup  $\text{SL}_2(\mathbb{F}_\ell) \times \{1\} \subseteq \text{GL}_2(\mathbb{F}_\ell) \times \text{GL}_2(\mathbb{F}_\ell)$  is the kernel of  $\Gamma_\ell \rightarrow G'_\ell$  and it projects isomorphically onto a normal subgroup of  $G_\ell$  contained in  $H_\ell$ . Moreover, this kernel is trivial if and only if  $K_\ell \subseteq K'_\ell$ , thus both kernels are trivial if and only if  $K_\ell = K'_\ell$ .

**Lemma 24.** *Suppose  $E, E' \subseteq K$ . If  $K_\ell \neq K'_\ell$  and if  $\ell \gg 0$ , then  $\rho_\ell \not\approx \rho'_\ell$ .*

*Proof.* Suppose  $K_\ell \neq K'_\ell$  and  $\ell \gg 0$ , and without loss of generality suppose the kernel of  $\Gamma_\ell \rightarrow G'_\ell$  is non-trivial and thus projects to a non-trivial normal subgroup of  $G_\ell$  contained in  $H_\ell$ . Since  $E \subseteq K$  and  $\ell$  is large, proposition 6 implies  $G_\ell = \text{GL}_2(\mathbb{F}_\ell)$  or  $G_\ell = C_\ell$  for some Cartan subgroup  $C_\ell \subseteq \text{GL}_2(\mathbb{F}_\ell)$ .

In the first case, the  $g = -1$  lies in every non-trivial normal subgroup of  $H_\ell = \text{SL}_2(\mathbb{F}_\ell)$  (cf. [2, lem. 2.2]). In the second case, every  $g \in H_\ell$  is semisimple and satisfies  $\det(g) = 1$ , so either  $g = 1$  or  $\det(g - 1) \neq 0$ . Either way, we can find an element  $(g, 1)$  in the kernel satisfying  $\det(g - 1) \neq 0$ , and thus lemma 22 implies  $\rho_\ell \not\approx \rho'_\ell$ .  $\square$

Since by assumption  $\rho_\ell \approx \rho'_\ell$  for infinitely many  $\ell$ , we conclude that  $K_\ell = K'_\ell$  for all  $\ell$  in an infinite  $\Lambda' \subseteq \Lambda$ .

**Proposition 25.** *If  $K_\ell = K'_\ell$  for infinitely many  $\ell$ , then  $A, A'$  are  $\bar{K}$ -isogenous and  $E = E'$ .*

*Proof.* The varieties  $A, A'$  are  $\bar{K}$ -isogenous by [2, theorem A], and thus  $E = E'$ .  $\square$

## Step 3

Let  $\mu \subset E^\times$  be the subgroup of roots of unity. If  $[E : \mathbb{Q}] = 2$ , then recall that, for  $\ell \geq 5$ , there is an embedding  $\mu \rightarrow C_\ell \subseteq \text{Aut}(A[\ell])$  (cf. section 3).

**Lemma 26.** *Suppose  $\Lambda' \subseteq S_{\mathbb{Q}}$  is infinite and  $E \subseteq K$  and  $K_\ell = K'_\ell$  for all  $\ell \in \Lambda'$ . Then there exist an infinite  $\Lambda'' \subseteq \Lambda'$  and a character  $\chi : G_K \rightarrow \mu$  such that  $A[\ell] \otimes \chi \simeq A'[\ell]$  for all  $\ell \in \Lambda''$ .*

*Proof.* If  $E = \mathbb{Q}$  and if  $\ell \gg 0$ , then  $G_\ell = G'_\ell \simeq \text{GL}_2(\mathbb{F}_\ell)$ , and thus [4, lem. 8] implies, for each  $\ell \in \Lambda$ , there is a character  $\chi_\ell : G_S \rightarrow \mu$  such that  $A[\ell] \otimes \chi_\ell \simeq A'[\ell]$ . Hermite's theorem implies there is a finite partition  $\Lambda' = \Lambda'_1 \cup \dots \cup \Lambda'_r$  such that  $\chi_\ell = \chi_{\ell'}$  if and only if  $\ell, \ell' \in \Lambda'_i$  for some  $i$ , thus there are  $\chi : G_K \rightarrow \mu$  and an infinite  $\Lambda'' \subseteq \Lambda'$  such that  $\chi_\ell = \chi$  for all  $\ell \in \Lambda''$ . If  $E \neq \mathbb{Q}$ , then we can apply proposition 25 to deduce that  $A, A'$  are  $\bar{K}$ -isogenous and apply corollary 16 to conclude.  $\square$

#### Step 4

If  $\chi : G_K \rightarrow \mu$  and  $\Lambda'' \subseteq \Lambda'$  are as in lemma 26, then the following lemma implies  $\chi$  must be trivial and hence  $A[\ell] \simeq A'[\ell]$  for almost all  $\ell \in \Lambda''$ :

**Lemma 27.** *Suppose  $E \subseteq K$  and  $\chi : G_K \rightarrow \mu$  is non trivial. If  $A[\ell] \otimes \chi \simeq A'[\ell]$  and if  $\ell \gg 0$ , then  $\rho_\ell \not\cong \rho'_\ell$ .*

*Proof.* Let  $L/K$  be the splitting field of  $\chi$ . By construction, it is a Galois extension and  $\chi$  identifies  $\text{Gal}(L/K)$  with the subgroup  $\chi(G_K) \subseteq \mu$ .

Let  $S'_\ell \subseteq S$  be the subset of  $\mathfrak{p}$  which split completely in  $K_\ell/K$ . If  $\mathfrak{p} \in S'_\ell$ , then  $A(k_\mathfrak{p})[\ell] = A[\ell]$  and also  $\ell \mid \#k_\mathfrak{p} - 1$  since the existence of the Weil pairing implies  $\mu_\ell \subseteq k_\mathfrak{p}$ . Hence  $\rho_\ell(\mathfrak{p}) = 1$  and we have the congruences

$$\Lambda_\mathfrak{p}(T) \equiv (1 - T)^2 \pmod{\ell}.$$

Suppose  $\mathfrak{p} \in S'$  and  $\ell \geq 5$ , and let  $\zeta_\mathfrak{p} = \chi(\phi_\mathfrak{p})$ ,  $\bar{\zeta}_\mathfrak{p} = 1/\zeta_\mathfrak{p}$  and let  $\alpha_\mathfrak{p}, \bar{\alpha}_\mathfrak{p} \in \bar{\mathbb{F}}_\ell$  be the reciprocals of the eigenvalues of the image of  $\phi_\mathfrak{p}$  in  $G_\ell$ . Suppose  $A[\ell] \otimes \chi \simeq A'[\ell]$ . If  $\mu = \mu_2$ , then  $\bar{\zeta}_\mathfrak{p} = \zeta_\mathfrak{p}$  and  $\Lambda'_\mathfrak{p}(T) = \Lambda_\mathfrak{p}(\zeta_\mathfrak{p}T)$ , and otherwise, up to swapping  $\alpha_\mathfrak{p}, \bar{\alpha}_\mathfrak{p}$  or  $\alpha'_\mathfrak{p}, \bar{\alpha}'_\mathfrak{p}$ , we have the following congruences for some  $\lambda \in S_E$  dividing  $\ell$ :

$$\alpha'_\mathfrak{p} \equiv \psi'_\lambda(\phi_\mathfrak{p}) \equiv \psi_\lambda(\phi_\mathfrak{p})\chi(\phi_\mathfrak{p}) \equiv \zeta_\mathfrak{p}\alpha_\mathfrak{p}, \quad \bar{\alpha}'_\mathfrak{p} \equiv \bar{\zeta}_\mathfrak{p}\bar{\alpha}_\mathfrak{p} \pmod{\lambda}.$$

That is, for any  $\mu$  and some  $\lambda \in S_E$  dividing  $\ell$ , we have the following congruences (cf. (3)):

$$\Lambda_\mathfrak{p}(T) \equiv (1 - \alpha_\mathfrak{p}T)(1 - \bar{\alpha}_\mathfrak{p}T), \quad \Lambda'_\mathfrak{p}(T) \equiv (1 - \zeta_\mathfrak{p}\alpha_\mathfrak{p}T)(1 - \bar{\zeta}_\mathfrak{p}\bar{\alpha}_\mathfrak{p}T) \pmod{\lambda}.$$

Therefore, if moreover  $\mathfrak{p} \in S'_\ell$ , then we have the following congruences:

$$\#A'(k_\mathfrak{p}) = \Lambda'_\mathfrak{p}(1) \equiv (1 - \zeta_\mathfrak{p})(1 - \bar{\zeta}_\mathfrak{p}) \pmod{\lambda}.$$

In particular, if  $\zeta_\mathfrak{p} \neq 1$ , then the last term is non zero and so  $\rho'_\ell(\mathfrak{p}) = 0$ .

To complete the proof we recall that  $E \subseteq K$  and observe that proposition 7 implies  $L \cap K_\ell = K$  for  $\ell \gg 0$ , thus the subset  $S''_\ell \subseteq S'_\ell$  of  $\mathfrak{p}$  such that  $\zeta_\mathfrak{p} \neq 1$  has positive density.  $\square$

In summary,  $A[\ell] \simeq A'[\ell]$  for infinitely many  $\ell$ , hence [2, prop. 1.4] implies  $A, A'$  are  $K$ -isogenous. Q.E.D.

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